

**UNITED STATES BANKRUPTCY COURT  
SOUTHERN DISTRICT OF NEW YORK**

-----	X	
	:	Chapter 11
In re:	:	
	:	Case No. 08-13555 (SCC)
LEHMAN BROTHERS HOLDINGS, INC., <i>et al.</i>	:	
	:	(Jointly Administered)
Debtors.	:	
	:	Ref. Docket Nos. 57454, 57557,
	:	57558, 57559, 57643, 57646
-----	X	

**AFFIDAVIT OF SERVICE**

STATE OF NEW YORK     )  
                                  ) ss.:  
COUNTY OF NEW YORK    )

FORREST KUFFER, being duly sworn, deposes and says:

1. I am employed as a Noticing Coordinator by Epiq Bankruptcy Solutions, LLC, located at 777 Third Avenue, New York, New York 10017. I am over the age of eighteen years and am not a party to the above-captioned action.
2. On February 9, 2018, I caused to be served the "Notice: Filing of Transfer of Claim Pursuant to Federal Rule of Bankruptcy Procedure 3001(e)(2) or (4)," dated February 9, 2018, a sample of which is annexed hereto as Exhibit A, by causing true and correct copies to be enclosed securely in separate postage pre-paid envelopes and delivered via first class mail to those parties listed on the annexed Exhibit B.
3. All envelopes utilized in the service of the foregoing contained the following legend:  
"LEGAL DOCUMENTS ENCLOSED. PLEASE DIRECT TO THE ATTENTION OF ADDRESSEE, PRESIDENT OR LEGAL DEPARTMENT."

/s/ Forrest Kuffer  
Forrest Kuffer

Sworn to before me this  
9<sup>th</sup> day of February, 2018  
/s/ John Chau

\_\_\_\_\_  
Notary Public, State of New York  
No. 01CH6353383  
Qualified in Queens County  
Commission Expires January 23, 2021

## **EXHIBIT A**

In re
LEHMAN BROTHERS HOLDINGS INC., et al.,
Debtors.

Chapter 11 Case No.  
08-13555 (SCC)  
(Jointly Administered)

**NOTICE: FILING OF TRANSFER OF CLAIM PURSUANT TO FEDERAL RULE OF  
BANKRUPTCY PROCEDURE 3001(e)(2) or (4)**

Note: For Purposes of this form, **transferor** refers to the claimant who is selling or otherwise assigning its claim. While **transferee** refers to the party who is purchasing or otherwise being assigned the claim.

To: BAR(23) MAILID \*\*\* 000125527187 \*\*\* LBH TRFNTC (MERGE2, TXNUM2) 4000184219



BANC OF AMERICA CREDIT PRODUCTS, INC.  
TRANSFEROR: SOLUS OPPORTUNITIES FUND 1 LP  
C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE  
BANK OF AMER TOWER,3 FL; ONE BRYANT PARK  
NEW YORK, NY 10036

Please note that your claim # 28103-51 in the above referenced case and in the amount of  
\$4,329,492.05 allowed at \$2,010,805.86 has been transferred (unless previously expunged by court order)

EMPYREAN INVESTMENTS, LLC  
TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC.  
ATTN: STERLING HATHAWAY  
10250 CONSTELLATION BLVD, SUITE 2950  
LOS ANGELES, CA 90067

No action is required if you do not object to the transfer of your claim. However **IF YOU OBJECT TO THE TRANSFER OF YOUR CLAIM, YOU MUST, WITHIN 21 DAYS OF THE DATE OF THIS NOTICE, FILE A WRITTEN OBJECTION TO THE TRANSFER WITH:**

UNITED STATES BANKRUPTCY COURT  
Southern District of New York  
One Bowling Green  
New York, NY 10004-1408

Send a copy of your objection to the transferee. Refer to INTERNAL CONTROL NUMBER 57454 in your objection. If you file an objection, a hearing will be scheduled. **IF YOUR OBJECTION IS NOT TIMELY FILED, THE TRANSFEEE WILL BE SUBSTITUTED ON OUR RECORDS AS THE CLAIMANT.**

Date: 02/09/2018 Vito Genna, Clerk of Court

/s/ Lauren Rodriguez

EPIQ BANKRUPTCY SOLUTIONS, LLC

as claims agent for the debtor(s).

FOR EBS USE ONLY: This notice was mailed to the transferor, transferee, and debtor(s) counsel by first class mail, postage prepaid on February 9, 2018.

## **EXHIBIT B**

Claim Name	Address Information
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 1 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANC OF AMERICA CREDIT PRODUCTS, INC.	TRANSFEROR: SOLUS OPPORTUNITIES FUND 1 LP, C/O BOA MERRILL LYNCH;A.JAKIC & R.WEDDLE, BANK OF AMER TOWER,3 FL; ONE BRYANT PARK, NEW YORK, NY 10036
BANK JULIUS BAER & CO. LTD.	TRANSFEROR: CREDIT SUISSE AG, ATTN: PATRIK ROOS, BAHNOFSTRASSE 36, CH-8010 ZURICH SWITZERLAND
CARVAL GCF LUX MASTER S.A.R.L.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O CARVAL INVESTORS, LLC, ATTN: KARL KITTELSON, 9320 EXCELSIOR BOULEVARD, 7TH FLOOR, HOPKINS, MN 55343
CARVAL GCF LUX MASTER S.A.R.L.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O CARVAL INVESTORS, LLC, ATTN: KARL KITTELSON, 9320 EXCELSIOR BOULEVARD, 7TH FLOOR, HOPKINS, MN 55343
CREDIT SUISSE AG	TRANSFEROR: SAWLANI, AYESHA, PARADEPLATZ 8, ZURICH CH-8001 SWITZERLAND
CREDIT SUISSE AG	ATTN: RICHARD LEVIN, JENNIFER BOSHAKOVA, CRAVATH SWAINE & MOORE LLP, 825 8TH AVENUE, NEW YORK, NY 10019
CVI AA LUX MASTER S.A.R.L.	TRANSFEROR: DEUTSCHE BANK AG, LONDON BRANCH, C/O CARVAL INVESTORS, LLC, ATTN: KARL KITTELSON, 9320 EXCELSIOR BOULEVARD, 7TH FLOOR, HOPKINS, MN 55343
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CREDIT SUISSE INTERNATIONAL, C/O DEUTSCHE BANK SECURITIES INC., ATTN: RICH VICH AidITH, 60 WALL STREET, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CREDIT SUISSE INTERNATIONAL, C/O DEUTSCHE BANK SECURITIES INC., ATTN: RICH VICH AidITH, 60 WALL STREET, NEW YORK, NY 10005
DEUTSCHE BANK AG, LONDON BRANCH	TRANSFEROR: CREDIT SUISSE INTERNATIONAL, C/O DEUTSCHE BANK SECURITIES INC., ATTN: RICH VICH AidITH, 60 WALL STREET, NEW YORK, NY 10005
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
EMPYREAN INVESTMENTS, LLC	TRANSFEROR: BANC OF AMERICA CREDIT PRODUCTS, INC., ATTN: STERLING HATHAWAY, 10250 CONSTELLATION BLVD, SUITE 2950, LOS ANGELES, CA 90067
MORGAN STANLEY WEALTH MANAGEMENT	TRANSFEROR: UBS AG, ATTN: AMMAR LUGHOD, 9665 WILSHIRE BOULEVARD, SUITE 600, BEVERLY HILLS, CA 90212
PREM SIROOMAL MIRPURI & SWETA P S MIRPURI	TRANSFEROR: MORGAN STANLEY WEALTH MANAGEMENT, C/O MORGAN STANLEY, 9665 WILSHIRE BLVD., 6TH FL., BEVERLY HILLS, CA 90212

<b>Total Creditor Count 15</b>
--------------------------------